

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: COLE, Alan, et al.  
Application No. 09/627,951  
Filed: July 28, 2000  
For: **METHODS AND SYSTEMS FOR  
COLLATERAL MATCHING AND MARK TO  
MARKET RECONCILEMENT**  
Group Art Unit: 3624  
Examiner: KALINOWSKI, Alexander G.

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**PRELIMINARY AMENDMENT AND REMARKS**

Sir:

This Preliminary Amendment is filed in response to the Final Office Action mailed January 12, 2005. A Decision on Appeal by the Board of Patent Appeals and Interferences was mailed on October 28, 2008. A Request for Continued Examination and fee are filed herewith within the time permitted under 37 C.F.R. §1.304(a) for filing an appeal to the Court of Appeals for the Federal Circuit. Accordingly, the present application is pending today, and the Request for Continued Examination is timely file.

Applicants respectfully request reconsideration in light of the following amendment and remarks. Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 18 of this paper.